IN THE UNITED STATES DISTRICT COURT FOR THE NORTHERN DISTRICT OF TEXAS FORT WORTH DIVISION

UNITED STATES OF AMERICA	
v. MARK FORKNER (01)	Case No. 4:21-CR-00268-O

DEFENDANT MARK FORKNER'S EXHIBIT LIST

Exhibit	Sponsoring	Description Of Exhibit	Offered	Identified	Admitted
Number	Witness				
1		3/7/16 Jens email to Forkner re			
1		training storyboard			
2		3/8/16 Jens email to Forkner re:			
		MCAS training description			
3		3/11/16 Walsh email re: MCAS/			
3		training module			
		3/15/16 Email re: Gangar MCAS			
4		description; Forkner is "pushing			
		to remove MCAS"			
5		3/29/16 Jens email to Forkner re			
3		"MCAS in both FCCs"			
6		3/30/16 Forkner email to Klein			
0		re: MCAS removal			
7		3/30/16 MCAS Coord Sheet,			
/		Rev. D			
8		2/1/18 Klein email to FAA re:			
0		Strength in Proximity			
0		8/11/17 Mazza email attaching			
9		Flight Controls CSID			
10		Discrepancy Report ("DR")			
10		1079			
11		Forkner Excellence Award			

Number Witness July 2016 Technical Familiarization conference - TCCA/FAA/Boeing - invite with agenda Email with link to presentation materials for July 2016 Tech Fam July 2016 Tech Fam July 2016 Tech Fam July 2016 Tech Fam Fam July 2016 Tech Fam July 2016 Tech Fam F	Exhibit	Sponsoring	Description Of Exhibit	Offered	Identified	Admitted
Familiarization conference - TCCA/FAA/Boeing – invite with agenda Email with link to presentation materials for July 2016 Tech Fam 12C July 2016 Tech Fam presentation materials 7/28/16 Boeing/FAA meeting Email – meeting summary 7/28/16 Boeing/FAA meeting Greene's handwritten notes on 7/28/16 presentation materials 13C 7/28/16 presentation materials October 2016 Technical Familiarization conference - IAC AR/FAA/Boeing - invite 14A1 Agenda attached to invite October 2016 Tech Fam presentation materials 14C Excerpt of October 2016 Tech Fam presentation materials 11/23/16 transmittal of October 2016 Tech Fam presentation materials 11/23/16 transmittal of October 2016 Tech Fam materials to FAA 10/18/16 Boeing submits to FAA - "Deliverable 9" Enhanced Flight Control System, System Description, Rev. O - letter Excerpt of attached "Deliverable 9" Rev. O	Number	Witness				
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14A1 Agenda attached to invite October 2016 Tech Fam presentation materials Excerpt of October 2016 Tech Fam presentation materials 11/23/16 transmittal of October 14D 2016 Tech Fam materials to FAA 10/18/16 Boeing submits to FAA - "Deliverable 9" Enhanced Flight Control System, System Description, Rev. O - letter Excerpt of attached "Deliverable 9" Rev. O			AR/FAA/Boeing - invite			
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- "Deliverable 9" Enhanced Flight Control System, System Description, Rev. O - letter Excerpt of attached "Deliverable 9" Rev. O			FAA			
- "Deliverable 9" Enhanced Flight Control System, System Description, Rev. O - letter Excerpt of attached "Deliverable 9" Rev. O			10/18/16 Boeing submits to FAA			
Flight Control System, System Description, Rev. O - letter Excerpt of attached "Deliverable 9" Rev. O			_			
Description, Rev. O - letter Excerpt of attached "Deliverable 9" Rev. O	15A		Flight Control System, System			
Excerpt of attached "Deliverable 9" Rev. O						
9" Rev. O						
	15B		-			
10A	16A		11/1/16-11/3/16 EASA			

Exhibit	Sponsoring	Description Of Exhibit	Offered	Identified	Admitted
Number	Witness				
		(European Aviation Safety			
		Agency) Validation Flight Test -			
		EASA/FAA/Boeing – email			
		attaching minutes			
16B		November EASA/FAA			
10D		presentation			
		Letter transmitting Nov 2016			
16C		EASA/FAA presentation			
		materials			
100		EASA/FAA November meeting			
16D		minutes			
1.7		November 2016 TCCA			
17		Validation presentation			
1.0		11/4/16 Panel 4 meeting -			
18		EASA/ FAA/ Boeing			
		December 2016 CAAC (China)			
10.4		Validation meetings -			
19A		CAAC/FAA/Boeing – letter			
		transmitting meeting materials			
100		CAAC/FAA December 2016			
19B		presentation materials			
10.0		CAAC/FAA December 2016			
19C		presentation materials			
		April 2017 Technical			
20.4		Familiarization conference -			
20A		FAA/Boeing/foreign regulators -			
		invite			
		April 2017 Tech Fam –			
20B		agenda/presentation materials			
		(full)			
		April 2017 Tech Fam –			
20C		agenda/presentation materials			
		(excerpt)			

Exhibit Number	Sponsoring Witness	Description Of Exhibit	Offered	Identified	Admitted
21A		May 2017 Technical Familiarization conference - Singapore/Brazil/foreign regulators/FAA/Boeing – invite			
21B		Letter and May 2017 Tech Fam conference presentation (full)			
21C		Letter and May 2017 Tech Fam conference presentation (excerpt)			
22		MAX First Flight photos			
23A		Forkner Coord Sheet – operational requirement – autopilot engage inhibit			
23B		Forkner Coord Sheet – requirement for flight deck panels			
23C		Forkner Coord Sheet – crew input of runway condition			
23D		Forkner Coord Sheet – flight crew operations – bowed rotor motoring			
23E		Forkner Coord Sheet – change to EGT Limit for Engine in Flight Start Procedure			
23F		Forkner Coord Sheet – Flight Crew Reaction Time to Engine Fire			
23G		Forkner Coord Sheet – Engine Display Limits			
23Н		Forkner Coord Sheet – Flight Crew Interface – Anti-Ice System			
23I		Forkner Coord Sheet – 737			

Exhibit Number	Sponsoring Witness	Description Of Exhibit	Offered	Identified	Admitted
rvamoer	vv itiless	MAX Display Crew System			
		Interface Document			
		Forkner Coord Sheet – Revise			
23J		PFD Compass Card Orientation			
		Forkner Coord Sheet –			
23K		Navigation System – Flight			
		management system			
221		Forkner Coord Sheet – LPV			
23L		Implementation Strategy			
2214		Forkner Coord Sheet – Ice			
23M		Protection Flight Related			
23N		Forkner Coord Sheet – removing			
23N		TAT DISAGREE message			
		Boeing Compensation-			
24A		Leverkuhn/Loffing/Forkner –			
		Leverkuhn Work History			
24B		Leverkuhn compensation			
24C		Leverkuhn Grant Summary			
24D		Leverkuhn Grant Summary			
24E		Loffing Work History			
24F		Loffing compensation			
24G		Forkner compensation			
24H		Forkner Work History			
25		9/13/12 Ewbank email to Jacobs			
23		re: High Speed Pitch Up			
26		9/28/12 Jens email to engineers			
20		re: MCAS operation			
27		11/1/12 email re: MCAS hazard			
21		assessment			
28		12/11/12 SWA email with MAX			
20		airplane configuration			
29		2013 Boeing "ITRACS" - re: use			
		of term MCAS			

Exhibit Number	Sponsoring Witness	Description Of Exhibit	Offered	Identified	Admitted
30	Withess	12/18/12 Boeing email re: presentations to FAA 12/17/12			
31A-B		3/29/13 email re: Crew Ops Team assignments			
32		5/6/14 Forkner email to Jens, Pratt re: systems summary for FAA			
33		5/7/14 Pratt email to Forkner re outline of systems summary for FAA			
34		5/21/14 Forkner email re: SWA ground rules for MAX program			
35A		May 2014 Boeing presentation to FAA re: MAX			
35B		May 2014 Boeing presentation to FAA - minutes			
35C		May 2014 Boeing presentation to FAA – Greene's notes			
36		8/6/14 Leverkuhn email re: MAX training			
37		8/7/14 Demir email to Palacio/Chamberlain attaching Coord Sheet for MAX Cockpit panels for Flight Controls Functions			
38		1/12/15 meeting invite from Teal to Loffing, et al re: RCAS – training			
39		1/15/15 Demir email to Palacio re: available CSIDs			
40		1/15/15 internal FAA email re: NG/MAX RCAS February meeting with Boeing			

Exhibit	Sponsoring	Description Of Exhibit	Offered	Identified	Admitted
Number	Witness				
		2/19/15 internal FAA "737MAX			
41A		weekly meeting" - cert basis			
		discussion with Boeing – invite			
41B		Attachment to 2/19/15 meeting			
		3/5/15 Internal FAA meeting re:			
42		Boeing Position on Training			
		Required for RCAS			
43		3/9/15 internal FAA email re:			
43		AEG/RCAS discussion			
		3/17/15 Forkner email to Walsh			
44		re: contact with FAA re: Level B			
		and Autopilot Saturation			
4.5		4/1/15 Ewbank email attaching			
45		Flight Controls CSID			
		4/9/15 Wright email to Loffing,			
4.6		Teal, Forkner, et al re: AEG			
46		position re: training differences			
		for RCAS/RSAT			
		5/4/15 Walsh email to Forkner,			
47		Leverkuhn, and et al re the			
		"MAX flies like the NG"			
		5/15/15 meeting invite -			
48		FAA/Boeing re: MAX FC Jam			
		Issue Paper			
4.0		5/28/15 Chamberlain email to			
49		Forkner re: updating BORIS risk			
		6/23/15 Chamberlain email to			
50		Klein attaching 6/16/15			
		presentation materials			
		8/27/15 Forkner email to			
51		Loffing, Walsh, et al re: FAA			
		Issue Paper			
52		9/1/15 internal FAA email re:			

Exhibit Number	Sponsoring Witness	Description Of Exhibit	Offered	Identified	Admitted
		Soft Jam, training			
		9/1/15 internal FAA email re:			
53		Soft Jam, training			
5.4		9/2/2015 Forkner email to			
54		Loffing re: FAA Issue Paper			
		9/8/15 Klein internal email re:			
5.5		Boeing management meeting			
55		with FAA management re: issue			
		paper			
		9/24/15 Palacio email to SWA			
56A		attaching FCOM Vol 2 draft			
		(excerpt)			
5(D		Full FCOM attachment to			
56B		9/24/15 email			
57		FAA Briefing powerpoint			
		11/11/15 Forkner email to			
50		Chamberlain, Palacio re: training			
58		content for "systems pilots can't			
		control"			
		11/17/15 Forkner email to many			
59		Boeing re: FAA/training level			
		determinations			
		12/14/15 Forkner email to Teal			
60		re: Teal meeting with FAA &			
		AEG leaders			
		FAA invite for 737 Validation			
61		General Familiarization meeting			
		at Boeing in Renton, WA			
		1/5/16 Andersen (Boeing) to			
62		SWA re: MAX training			
		preliminary differences table			
62		1/15/16 Adjibly (FAA) emails			
63		"Gen Fam" agenda for meeting			

Exhibit	Sponsoring	Description Of Exhibit	Offered	Identified	Admitted
Number	Witness				
		at Boeing in Renton, WA to			
		AEG Perkins, Bosselmann			
		Forkner emails to Boeing Test			
64A		Pilots re MAX handling qualities			
04A		experienced during test flights -			
		2/4/16			
		Forkner emails to Boeing Test			
64B		Pilots re MAX handling qualities			
040		experienced during test flights -			
		3/30/16			
		Forkner emails to Boeing Test			
64C		Pilots re MAX handling qualities			
04C		experienced during test flights -			
		6/13/16			
		SWA internal email attaching			
65		SWA MAX procedural			
		differences PowerPoint			
		2/13/16 Leverkuhn/Teal email			
66		exchange re: MAX stall			
		characteristics mitigation			
67		2/17/16 Klein/Perkins (FAA)			
07		chat			
		2/18/16 Forkner email to Loffing			
68		re: FAA allowed reduction in			
		number of RECALL items			
		2/21/16 Loffing email to			
69		Leverkuhn with "Big Rocks"			
		and "Worry Beads"			
		2/26/16 Leverkuhn email to			
70		Forkner re: possible negotiation			
		at DC level re: MAX Classic			
71		Final FAA/Boeing Issue Paper			
/ 1		re: Type Rating Determination			

Exhibit Number	Sponsoring Witness	Description Of Exhibit	Offered	Identified	Admitted
		and Training Requirements			
72		Favour email to Teal, Leverkuhn attaching engineering pitch (PowerPoint) re: Stall Characteristics Early Phase 1			
73		3/1/16 Chamberlain email to Forkner, re "risk" meeting, includes "differences training exceeds level B"			
74		3/2/2016 Teal email to Leverkuhn - Flight Sciences Update re: stall ID			
75		3/2/16 Boeing email to SWA, Flight Controls training material			
76		3/2/16 Teal email to Leverkuhn, cc: Loffing et al re MAX Stall Characteristics, potential MCAS revision			
77A		3/3/16 FAA meeting with Boeing re "737MAX Type Board meeting" with attached presentation			
77B		Attached presentation – 3/3/16 meeting			
78		Email w/ minutes for 2/26/16 Stabilizer meeting - FAA/Boeing			
79		3/8/16 Mills email to Jens, et al re: 737MAX Stalls - options of MCAS, Speed Trim System			
80		3/8/16 Forkner FW Flight Controls training module to Boeing training/Flight Tech			
81		3/8/2016 Jens email to Mills,			

Exhibit	Sponsoring	Description Of Exhibit	Offered	Identified	Admitted
Number	Witness				
		"start of pitch" PowerPoint			
		"CLAA_MCAS expansion"			
82		3/9/16 Teal forwards Leverkuhn			
		- 737MAX Stall Next Steps			
		Bogdan to Jens, et al re:			
83		737MAX Stall Characteristics			
		Mitigation Schedule			
84		3/14/16 Henderson to Jacobs,			
04		Burrington re: MCAS work			
0.5		3/17/16 Brendemihl email re:			
85		Leverkuhn request for deep dive			
0.6		3/21/16 Loffing email - returning			
86		to work 3/29/16			
		3/21/16 Teal email to Leverkuhn			
87		re: MCAS piloted evaluation			
		3/21/16 Leverkuhn email re:			
88		results - MCAS test in CAB			
		3/22/16 Palacio email to Forkner			
89		attaching Flight Controls CSID			
		3/23/16 Ball email to Bogdan re:			
90		MCAS schedule			
		3/25/16 Mills email to Jens, et al			
91		re: MCAS - Draft coord sheet			
		3/28/16 email re: RCAS great			
92		news provisional Level B			
		Invite for 3/30/16 737MAX			
93		Leadership review - Follow Up			
93		1			
		to S&C Phase 1 Deep Dive			
94		3/30/16 Forkner email to Jens re:			
		MCAS redundant in both FCCs?			
2.5		3/30/16 Endicott email MAX			
95		Stall Mitigation presentation			
		"shared with Keith today"			

Exhibit	Sponsoring	Description Of Exhibit	Offered	Identified	Admitted
Number	Witness				
		3/30/16 Brendemihl email "brief			
96		summary of go-forward plan			
		from meeting with Leverkuhn"			
		3/30/2016 Bogdan email re:			
97		decisions made with 737			
		Program Leadership			
98		3/30/16 Morrow email re: Stall			
70		Characteristics issue update			
		Chamberlain email (internal			
99		Boeing) attaching Flight			
		Controls training module			
		4/4/16 Hildebrandt email to			
100		Landau, Forkner, Chamberlain			
		re: MAX Risk 218 summary			
		4/4/16 Forkner email to Boeing			
101		training - differences table			
		"MCAS officially removed"			
102		4/5/16 Kerous (SWA) to Boeing			
102		- draft Flight Controls training			
103A		4/14/16 Palacio email to SWA -			
103A		MAX QRH draft (excerpt)			
103B		Full attached QRH			
		4/20/16 Forkner email to flight			
104		test pilots - MAX simulator fly			
		out support request			
105		4/20/16 Palacio email re: MAX			
103		FCOM MCAS description			
		4/20/16 Chamberlain email re:			
106A		MAX Training Level Risk			
		Matrix Risk 218			
106B		Attachment to 4/20/16 email			
10-		4/20/16 Kerous (SWA) re: MAX			
107		differences training audit			

Exhibit Number	Sponsoring Witness	Description Of Exhibit	Offered	Identified	Admitted
108		4/21/16 Parkey (SWA) email re: SWA April 2016 Outstanding items			
109		4/29/16 Fong email to re: FCC RL15.2.2 for MAX E-Cab			
110		5/3/16 Loffing email retracting approval of WSRD - MCAS Stalls			
111		5/6/16 Forkner email to Leverkuhn, Teal, Loffing re: MAX training update			
112		5/10/16 meeting invite from Loffing to Forkner, Gustavsson - re: "pitch up on landing" – "significant operational aspect you should be looped in on"			
113		5/16/16 Loffing email approving one day flight testing - MCAS			
114A		5/23/16 internal FAA email - Boeing PowerPoint "MAX May 2016 status for FAA - stalls"			
114B		Attachment to 5/23/16 email - powerpoint			
115		5/24/16 Leverkuhn email to Carriker thanking for help with MCAS stall characteristics test flight			
116A		PRR spreadsheet/ITRACS closures – ITRACS engine start delay			
116B		6/20/16 email with PRR report attached			
116C		Attachment to 6/20/16 email -			

Exhibit Number	Sponsoring Witness	Description Of Exhibit	Offered	Identified	Admitted
		PRR report			
116D		Excerpt of PRR report			
116E		ITRACS – Stall Characteristics			
		6/15/16 Jens email re: Brief			
115		Summary - Test Flight - "what			
117		happens when we have a fault			
		AOA or Mach number?"			
110		6/20/16 Jacobs email re: flight			
118		crew ops assignments			
		6/20/16 Vanvoorhis email to			
119		Jens, et al re: squawk for MCAS			
		trim event			
120		6/22/16 MCAS review meeting			
120		minutes			
		6/23/16 Email - 6/22/16 737			
		MAX Program/Airplane RIO			
121		meeting minutes - Loffing			
		approves closure of item #151			
		Stall Characteristics			
122A		6/24/16 draft closure of PR re:			
122A		flaps up stalls characteristics			
122B		6/24/16 ITRACS closure – stall			
1226		characteristics			
122		Loffing invite - 6/30/16 meeting			
123		re: 737 MAX FCC/SCE Risks			
		7/8/16 email re: Service			
124		Excellence award nomination -			
		work on RCAS/RSAT training			
		7/19/16 email re: MCAS			
125		Squawk related to disagreement			
123		in MCAS - discrepancy between			
		local AOA signals in each FCC			
126		8/9/16 Forkner email - MAX T-2			

Exhibit	Sponsoring	Description Of Exhibit	Offered	Identified	Admitted
Number	Witness				
		test passes with flying colors!			
127		8/10/16 Deal email to Forkner, et			
127		al "great news"			
128		8/16/16 Deal email -"Terrific			
120		news for our customers!"			
		8/16/16 Forkner email - MAX			
129		Differences Training approved at			
		Level B!!!!			
130A		Full Boeing FCOM, 10/3/16			
130B		Excerpt Boeing FCOM, 10/3/16			
		9/30/16 Klein/Perkins chat "I			
131		feel this cert effort has been so			
		integrated"			
132A		Motoring Indication issue and			
132A		resolution – 11/3/16 email			
132B		Motoring Indication – 11/3/16			
132B		11:48 am email			
132C		Motoring Indication 11/3/16			
132C		4:03 pm email			
132D		Motoring Indication 11/18/16			
132D		Fligt Test Summary email			
		11/9/2016 Jacobs email - Flight			
133A-B		Crew Ops Team assignments			
		with attachment			
		11/2016 emergency descent			
134A		issue and resolution – 11/10/16			
		8:48 am email			
		11/2016 emergency descent			
134B		issue and resolution – 9:56 am			
		email			
		11/2016 emergency descent			
134C		issue and resolution – 11/30/16			
		email			

Exhibit	Sponsoring	Description Of Exhibit	Offered	Identified	Admitted
Number	Witness				
		11/11/16 Forkner email re:			
135A-B		"planning directive" related to			
133A-B		crew training requirements with			
		excel attachment			
126		11/15/16 Forkner/Gustavsson			
136		chat re: simulator that day			
105.		11/16/16 Forkner/Pratt			
137A		communications – 8:14 am email			
		11/16/16 Forkner/Pratt			
137B		communications – 8:37 am call			
		11/16/16 Forkner/Pratt			
137C		communications – 8:56 am call			
		11/16/16 Forkner/St. Germain			
138		re: missed your phone call			
		11/17/16 Forkner email to			
139		Gustavsson re: simulator issues			
		11/22/16 Flight Tech invite for			
140		meeting re: "FSB Review"			
		11/22/16 Chamberlain email to			
141		Klein, et al attaching FSB report			
		with Flight Tech comments			
		11/28/16 FAA/Boeing meeting			
		minutes re: 737 MAX S&C			
142		Open Items Resulting from			
		Certification Testing			
		11/30/16 Forkner email re: "top			
143		of descent" issues, possible			
		changes to FCOM			
		12/2/16 email with schedule for			
144		pilot evaluation next week			
		12/2/16 email - E-Cab Session			
145		report for 12/1/16 E-Cab Flyout			
146		12/5/16 email re: status report -			

Exhibit	Sponsoring	Description Of Exhibit	Offered	Identified	Admitted
Number	Witness				
		737MAX simulator #1 in Miami			
		12/5/16 email re: MAX flyouts			
147		planned with engineering			
		disciplines onsite			
148		12/6/16 email - "FYI handles			
170		much better in pitch"			
		12/7/16 Wasikowski email to			
1.40		Forkner, Jens, et al re: potential			
149		737-8 QRH revisions as result of			
		S&C testing			
		12/13/16 email re: status report			
		for Boeing 737 MAX simulator -			
150		update on 12/6-12/9 special			
		flight controls/motion tuning and			
		testing session			
		1/4/17 Forkner email possible			
151		changes to engine indications			
		affecting FCOM/training			
		1/17/17 Forkner email to Klein,			
152		re: corrections to FSB draft			
		1/20/17 Forkner email to Klein			
153		with inputs to FSB draft			
		2/15/17 Email re: Loffing			
		possibly talking with FAA			
154		manager James Kline re: FSB			
		report delay			
		8/17/16 FAA Klein letter to			
155		Boeing provisional approval of			
100		Level B training differences			
		2/16/17 Email re: "proving runs"			
156		not being necessary for SWA to			
130		fly MAX			
157		2/27/17 Adjibly (FAA) email to			
13/		2121111 Aujiviy (FAA) tiliali lo			

Exhibit Number	Sponsoring Witness	Description Of Exhibit	Offered	Identified	Admitted
		many FAA re: 737 MAX Cert			
		Closure, outstanding issues			
		ahead of MAX final type board			
		3/2/17 Leverkuhn email re: FAA			
158		appeal action strategy			
		3/9/17 Forkner to Waltz, Lusk			
4.50		(SWA) re: formal approval of			
159		type rating, training level			
		determination			
160		3/9/17 AEG email - "thank you"			
		3/23/17 737MAX ppt given by			
161		737 MAX Chief Mechanic, NG			
		vs MAX Dispatch Differences			
1.62.4		3/23/17 Boeing email attaching			
162A		list of DRs "DRDBReport"			
1.000		Attachment to 3/23/17 email –			
162B		DRDB report			
1.60		4/11/17 Forkner email to AA			
163		with link to draft FSB report			
		4/13/17 internal FAA email re:			
164		737 MAX Emergency Descent			
		Control Law Change - AEG FYI			
4.6		4/17/17 Jens email to Forkner re:			
165		MCAS "simple explanation"			
		5/2/2017 Perkins email to			
166		Boeing Flight Tech cc: Klein re:			
		FSB report draft revisions			
		6/1/17 Cashdollar award			
167		nominations for "team time off			
		award 737MAX8 (Klein)"			
1.60		7/13/17 Adjibly (FAA) email -			
168		Boeing MAX "Deliverables"			
169		8/1/17 Klein/Perkins chat re			

Exhibit	Sponsoring	Description Of Exhibit	Offered	Identified	Admitted
Number	Witness				
		"how/if Boeing Flight Technical			
		stays in the loop"			
		8/10/17 Forkner email "we don't			
170		always get formally asked for a			
		training level determination."			
		8/15/17 AEG email re:			
171		discussion of information			
1/1		flow/process internally at			
		Boeing/Boeing Flight Technical			
1.72		2/9/18 Forkner email to Klein re:			
172		Jedi mind tricking			
1.72		3/2/18 internal AEG re: internal			
173		Boeing information flow/process			
		12/26/17 internal FAA email re:			
174		trailing edge flaps failure			
		probabilities			
		6/23/18 Loffing to Teal MAX			
175		Daily Executive Summary			
		"should be framed"			
		7/3/18 Forkner/Loffing email -			
176		Forkner going to work for SWA			
		7/12/18 internal FAA email -			
177		AEG Operational Evaluation			
		8/1/18 internal FAA email re:			
178		737-8 BBJ evaluation of			
170		differences			
179		Loffing resume			
117		11/4/18 Klein email to Greene -			
180		Forkner 3/30/16 MCAS email			
		11/5/18 Klein email to Matzen,			
181		, in the second of the second			
		FAA et al re: Lion Air, update			
182		11/5/18 Klein email to Nantz,			
		FAA et al re: Lion Air, updates			

Exhibit	Sponsoring	Description Of Exhibit	Offered	Identified	Admitted
Number	Witness				
183		11/5/18 Klein email to Ripple re:			
105		737 MAX QRH, AFM, FCTM			
		11/6/18 Ball email forwarding			
		6/22/16 presentation re: MCAS			
184		"slide 8: Stabilizer Re-Sync			
		describes functionality of MCAS			
		for AOA failure"			
105		11/6/18 email re: MCAS Option			
185		- AOA Comparison Monitor			
		11/6/18 Boeing OMB re: to			
186		emphasize procedures provided			
		in Runaway Stabilizer NNC			
		11/7/2018 Klein email to internal			
187		AEG re: Lion Air B-737-8			
		Update, Emergency AD			
		11/8/18 email to Loffing with			
188A		attachments			
		Attachment to 11/8/18 email –			
188B		FAA Emergency AD			
1000		Attachment to 11/8/18 email –			
188C		Safety Review Board			
1000		Attachment to 11/8/18 email –			
188D		Safety Review Board minutes			
		11/9/18 Loffing email FW			
189		3/30/16 ppt re: Stall Mitigation			
		recommended solutions			
		11/9/18 American Airlines (AA)			
190		email re: compliance with FAA			
		emergency AD			
		Mountcastle (SWA) documents			
191A		related to MCAS – 11/11/18			
1,111		email			
191B		Mountcastle (SWA) documents			

Exhibit Number	Sponsoring Witness	Description Of Exhibit	Offered	Identified	Admitted
		related to MCAS- MCAS description			
191C		Mountcastle (SWA) documents related to MCAS – speed trim fail			
191D		Mountcastle (SWA) documents related to MCAS – slide re: speed trim fail			
191E		Mountcastle (SWA) documents related to MCAS – Nov 2015 Non Normals Procedure Validation			
191F		Mountcastle (SWA) documents related to MCAS – CSID			
191G		Mountcastle (SWA) documents related to MCAS – Ops Safety Risk Assessment			
192		11/12/18 email re: 737-8 Gen Fam engineering group MCAS presentations			
193		11/13/18 AA email re: WSJ			
194		11/14/18 Forkner email to Waltz re: AEG and MCAS			
195		11/15/18 AA email re: Any truth to this?			
196		11/17/18 email to Weaks re: Forbes article			
197		11/26/18 Boeing Statement Lion Air Flight 610 Update			
198		11/26/18 email to Loffing - PowerPoint used with FAA - 11/16/18 MCAS v. Speed Trim			
199		11/26/18 Cashdollar invite -			

Exhibit Number	Sponsoring Witness	Description Of Exhibit	Offered	Identified	Admitted
1 (unito e)	VV Itiless	meeting re: Lion Air Progress			
		Discussions			
		11/27/18 Loffing email re:			
200		MCAS certification path actions,			
		notes re: hazards			
201		11/29/18 email to Loffing, et al			
201		attaching FHA/SSA presentation			
202		11/29/18 Trevino email to			
202		Weaks re: Bloomberg statement			
2024		767 FCOM MCAS – 11/30/18			
203A		chat			
202D		767 FCOM MCAS – 12/17/18			
203B		email			
2020		767 FCOM MCAS – 3/15/19			
203C		email			
204		12/3/18 email re: MAX			
204		simulator - AOA Failure/MCAS			
205 4		12/4/18 Loffing email FW			
205A		MAX-8 foreign validation data			
205D		Attachment to 12/4/18 email:			
205B		11/30/18 email			
207.0		Attachment to 12/4/18 email:			
205C		presentation materials – full			
2075		Attachment to 12/4/18 email:			
205D		presentation materials – excerpt			
		737 NG/MAX Stabilizer Trim			
206A		Control System Safety Analysis			
		– full			
		737 NG/MAX Stabilizer Trim			
206B		Control System Safety Analysis			
		- excerpt			
207		12/10/18 Takisaki email re:			
207		BASOO Oversight of 737-8			

Exhibit Number	Sponsoring	Description Of Exhibit	Offered	Identified	Admitted
Number	Witness	12/12/19 11 1 66 120			
208		12/12/18 email to Loffing FW			
		Forkner/Klein emails re: MCAS			
		12/14/18 Boeing "737 Flight			
209		Technical Integrated Project			
		Plan" PowerPoint			
210		12/14/18 internal FAA email re:			
		12/14/18 meeting notes			
		12/17/18 Loffing "MCAS			
211		Development and Certification			
		Overview" PowerPoint			
		12/18/18 email with notes of			
212		12/17/18 Boeing/FAA			
		meeting/Loffing presentation			
		12/20/18 Klein email re: FAA			
213		COS Narrative relative to Lion			
		Air Accident			
		1/14/19 FAA/Boeing meeting			
214		minutes re: MCAS			
		1/15/19 Klein email re: Flight			
215		Crew Operations briefing for			
		Lion Air Accident Mitigation			
		1/28/19 FAA email re: 737-8			
216		MCAS ATC Oversight			
217		2/4/19 Klein email FW Training			
217		evaluation			
		2/4/19 FAA Aviation Safety			
		Memo from Phipps, prepared by			
		Campanello re: AFS AEG			
218		Involvement in Boeing 737			
		"Max" Certification and			
		subsequent Lion Air accident			
		investigation			
6.1.0		1/30/19 Boeing letter to FAA re:			
219		Boeing position re: MCAS			

Exhibit	Sponsoring	Description Of Exhibit	Offered	Identified	Admitted
Number	Witness				
		2/12/19 Klein email re: response			
220		to NYT article and DeFazio			
		talking points			
221		2/21/19 Klein email to Perkins			
221		"found a new PP"			
		2/21/19 Klein email re: Flight			
222		Controls PP lost in my Outlook			
		folders, attached			
223		2/26/19 Klein/Campanello chat			
		2/26/19 Klein email re: draft			
224		comm brief to mgmt on AEG-FT			
		MCAS Eval Challenges			
		3/1/19 Boeing letter to FAA -			
225		12/17/18 MCAS Development			
225		and Certification Overview			
		presentation			
226		Boeing "Safety Analyses"			
226		PowerPoint			
2274		3/9/19 email to Loffing, Webb,			
227A		et al re: MCAS Recognition			
227B		Attachment to 3/9/19 email			
		3/10/19 Waltz (SWA) email re:			
228		POP Event Summary - Ethiopian			
		Flight #302			
229		3/10/19 AA email re: call with			
229		FAA			
230		3/11/19 Weaks email re:			
230		Ethiopian MAX			
		3/11/19 Waltz email re:			
231		Leadership update: an Update on			
		Ethiopian Airlines Flight 302			
222		3/12/19 Weaks email re: Blast			
232		for tonight - Ethiopian Airlines			

Exhibit Number	Sponsoring Witness	Description Of Exhibit	Offered	Identified	Admitted
		Flight 302 Update March 11			
		3/12/19 AA email re: Post Lion			
233		Air actions - B737-MAX			
224		3/12/19 Waltz email re: MAX			
234		update			
		3/13/19 Allied Pilots President			
225		email to Weaks re: APA			
235		Remains Confident in Boeing			
		MAX			
226		3/14/19 Klein email re:			
236		WashPost request			
227		3/14/19 email to Weaks -			
237		B737MAX info			
		3/14/19 FAA letter to Boeing re:			
238		provisional approval Level B			
		training			
220		3/15/19 APA email with News			
239		Digest re: 737 MAX Grounding			
		3/18/19 Weaks email re:			
		Leadership update: SWAPA and			
240		other Union Leaders meeting			
		with SWA Management on			
		MAX Grounding			
		3/20/19 AA email re: WSJ:			
241		Inside US Airlines' Decisions to			
		Keep Flying the 737 MAX			
		3/22/19 Transport Canada			
242		(TCCA) letter provisionally			
		approving Level B differences			
		training			
242		3/25/19 email to Weaks re:			
243		Boeing Visit Trip report 3/23/19			
244		3/25/19 Kline email re: FCC			

Exhibit	Sponsoring	Description Of Exhibit	Offered	Identified	Admitted
Number	Witness				
		12.1 FFS Evaluation detailing			
		Klein's flight of full flight			
		simulator			
		Flight Test Certification Report			
245		737-8 Stall Characteristics,			
		Release Date: 11/6/17			
246		3/28/19 Burrington email			
240		attaching Flight Controls CSID			
		11/13/18 Sutherland (FAA)			
247		email CAAC Gen Fam			
		presentation "more MCAS stuff"			
2.40		4/4/19 Weaks email re: Prelim			
248		report on 302			
		4/4/19 Weaks email re:			
249		Governmental Affairs			
		Committee			
		4/12/19 FAA PowerPoint for			
250		MAX Operators			
		4/16/19 Ripple email re: review			
251		"analysis of 737MAX accidents"			
		4/20/19 FAA internal email			
252		FW: 737 Training Options,			
		forwarded Klein email			
		5/1/19 FAA AEG 737MAX FSB			
253		Overview for Joint Authorities			
		Technical Review Board			
		5/24/19 Klein email to Schubbe			
254		FW: your thoughts?			
		737-8 MAX MCAS Oversight			
255		Report, by FAA BASOO			
		5/31/19 internal FAA email re:			
256		open issues/assessment of			
230		MCAS software			
		MICAS SULLWALE			

Exhibit	Sponsoring	Description Of Exhibit	Offered	Identified	Admitted
Number	Witness	6/14/10 75: 1			
257		6/14/19 Ripple email to Stoney,			
		Greene re: Full Stall Data			
258A		SWA differences spreadsheet -			
		full			
258B		SWA differences spreadsheet –			
250B		excerpt			
259		9/18/19 Greene email re: Greene			
239		comments NTSB Questions			
260		3/13/19 email re: APA Press			
200		Release			
261		2016 Loffing performance			
261		evaluation			
		9/27/16 Boeing/FAA Meeting			
262		Minutes re: Stabilizer SSA			
		Flight Test Organization Plan of			
263		Test: T2 Test, 8/9/16			
		11/7/18 FAA Emergency			
264		Airworthiness Directive			
		737MAX "Truth about			
265		Training"			
266A		SWA invoice (redacted)			
266B		SWA invoice (redacted)			
2002		SWA/Boeing - Purchase			
267A		Agreement (full)			
		SWA/Boeing - Purchase			
267B		Agreement (excerpt)			
		Aircraft General Terms			
268					
208		Agreement SWA-AGTA			
		between Boeing and SWA			
260.4		Purchase Agreement between			
269A		Boeing and American Airlines			
		(full)			
269B		Purchase Agreement between			

Exhibit Number	Sponsoring Witness	Description Of Exhibit	Offered	Identified	Admitted
T (MARKO OT		Boeing and American Airlines			
		(excerpt)			
270A		American Airlines/Boeing			
		Supplemental Agreement American Airlines/Boeing			
270B					
		Supplemental Agreement			
270C		American Airlines/Boeing			
		Supplemental Agreement			
270D		American Airlines/Boeing			
		Supplemental Agreement			
270E		American Airlines/Boeing			
		Supplemental Agreement			
270F		American Airlines/Boeing			
		Supplemental Agreement			
270G		American Airlines/Boeing			
		Supplemental Agreement			
270H		American Airlines/Boeing			
		Supplemental Agreement			
270I		American Airlines/Boeing			
		Supplemental Agreement			
270J		American Airlines/Boeing			
		Supplemental Agreement			
270K1		American Airlines/Boeing			
270111		Supplemental Agreement (full)			
		American Airlines/Boeing			
270K2		Supplemental Agreement			
		(excerpt)			
		American Airlines/Boeing			
271		Aircraft General Terms			
		Agreement			
272		Boeing Invoices			
272A		AA invoice (redacted)			
272B		AA voucher			

Exhibit	Sponsoring	Description Of Exhibit	Offered	Identified	Admitted
Number	Witness				
272C		AA invoice (redacted)			
273A		Forkner performance evaluations 2014			
273B		Forkner performance evaluations - 2015			
273C		Forkner performance evaluations-2016			
273D		Forkner performance evaluations-2017			
273E		Forkner performance evaluations-2018			
274A		Boeing website "Ensuring Safety" – current			
274B		Boeing website "Ensuring Safety" – April 2016			
275		More Mark emails/chats			
275A		1/26/12 Forkner email – "I lied it was April 95"			
275B		10/18/13 Forkner email – "I lied Sorry for the confusion."			
275C		4/3/14 Forkner email – "I lied" re: Warning Horn			
275D		7/14/2014 Forkner email – "I lied" re: Word document			
275E		2/4/2016 Forkner email – "I lied, they're from Monarch airlines, not TUI!"			
275F		3/11/2016 Forkner email – "I lied about APU on Demand."			
275G		4/3/17 Forkner chat – "I lied" re: not having powerpoint			
275Н		12/7/17 Forkner email – "I lied" re: course materials			

Exhibit Number	Sponsoring Witness	Description Of Exhibit	Offered	Identified	Admitted
2751	,, 141	4/13/18 – "I lied" re: rewards points			
276A		4/6/16 Boeing org chart: 737 NG/MAX Engineering Leadership Team			
276B		9/1/17 Boeing org chart: 737MAX Program – "ALIT/SEIT"			
276C		7/25/17 Boeing org chart: Flight Technical & Safety			
276D		7/14/15 Boeing org chart: Flight Services / Flight Training Technical & Safety			
276E		10/24/17 Boeing org chart: Global Services Training Services			
277A		8/17/17 Forkner email — "Hopefully I can Jedi Mind Trick them into letting me start earlier"			
277B		8/22/13 Forkner email – "You gotta love Jedi Mind Tricks"			
277C		11/20/13 Forkner email – "Use your Jedi Mind Trick"			
277D		2/4/14 Forkner email – "I am a Jedi Master"			
277E		2/20/14 Forkner email – "Your jedi mind tricks worked!"			
277F		5/7/14 Forkner email – "I can still use time to try Jedi mind tricks"			
277G		10/21/14 Forkner email – "We'll do our best to jedi mind trick			

Exhibit Number	Sponsoring Witness	Description Of Exhibit	Offered	Identified	Admitted
		everyone"			
277Н		6/8/15 Forkner email – "I'm good at Jedi Mind Tricks"			
277I		10/5/15 Forkner email – "jedi mind trick this AB customer to flip on over to the Dark Side"			
277J		8/17/17 Forkner email – "You're jedi mind tricks serve you well"			
278A		AT&T records: Forkner Boeing cell - 1/2016-1/2020			
278B		AT&T records: Forkner Boeing cell – Int'l – 1/2016-1/2019			
278C		AT&T records: Forkner Boeing cell – Wireline – 1/2016-1/2019			
278D		AT&T records: Loffing desk; Loffing Boeing cell – Mobility – 11/2016-2/2017			
278E		AT&T records: Loffing desk; Loffing Boeing cell – Wireline – 11/2016-2/2017			
278F		AT&T records: Loffing desk; Loffing Boeing cell; Forkner desk; Forkner Boeing cell – Mobility – 1/2016-7/2018			
278G		AT&T records: Loffing desk; Loffing Boeing cell; Forkner desk; Forkner Boeing cell – Int'l – 1/2016-7/2018			
278H 279A		AT&T records: Loffing desk; Loffing Boeing cell; Forkner desk; Forkner Boeing cell – Wireline – 1/2016-7/2018 Pupo simulator coding commit:			

Exhibit Number	Sponsoring Witness	Description Of Exhibit	Offered	Identified	Admitted
		"Changed reset to match IAC for start of trim conditions"			
279B		Simulator coding Commits master			
279C		11/19/16 Pupo simulator coding commit			
279D		12/2/16 MAX Simulation Binary Software Release Report			
279E		12/15/16 MAX Simulation Binary Software Release Report			
279F		Pupo commit: "Removed MCAS test for TRU"			
279G		Pupo commit: "Tuning of MCAS for frame rate difference and yaw damper"			
279Н		Pupo commit: "Removed last revision B1803"			
279I		Pupo commit: "Corrected input for aft column at"			
279J		Pupo commit: "Brake pedal calibration"			
279K		Pupo commit: "Go around update to Level D"			
279L		Pupo commit: "added MCAS class"			
279M		Pupo commit: sce files and autopilot update			
279N		Doug Reitz commit: "Activated MCAS feature (change from Vince/Michael"			
2790		Pupo commit: "Committing level D sce files"			
279P		Pupo commit: "ILC package			

Exhibit Number	Sponsoring Witness	Description Of Exhibit	Offered	Identified	Admitted
		update for autopilot"			
279Q		Pupo commit: "Added MCAS update for ILC package"			
279R		Pupo commit: "adjusted mcas gain for flap change"			
279S		Pupo commit: "MCAS update for ILC, ivd sce files"			
279Т		Michael S Chong commit: "Updates to Figures in MCas.h"			
279U		Pupo commit: "Corrected RCAS logic for timer"			
279V		Pupo commit: "Corrected logic for NO AUTOLAND message"			
279W		Pupo commit: "Updated Autothrottle software tables"			
279X		Pupo commit: "Reduced scuffing at low speeds"			
279Y		Pupo commit: "Added gain for experiment with on site MCAS stab issue"			
279Z		Pupo commit: "Added flight freeze for QTG test set up for MCAS"			
279AA		11/15/16 MAX Simulation Binary Software Release Report			
279AB		11/23/16 MAX Simulation Binary Software Release Report			
279AC		11/28/16 MAX Simulation Binary Software Release Report			
279AD		11/30/16 MAX Simulation Binary Software Release Report			
279AE		12/9/16 MAX Simulation Binary Software Release Report			

Exhibit	Sponsoring	Description Of Exhibit	Offered	Identified	Admitted
Number	Witness	10/10/16 3 5 1 3 3			
279AF		12/12/16 MAX Simulation			
2004		Binary Software Release Report			
280A		FAA Order – 4040.26B			
280B1		FAA Order – 8110.4C (full)			
280B2		FAA Order – 8110.4C (excerpt)			
280C		FAA Order – 8110.4C – Chg5			
280D		FAA Order – 8110.4C – Chg6			
280E		FAA Order – 8430.21A			
280F		FAA Order – 8900.1			
280G1		AC 25-7C (full)			
280G2		AC 25-7C (excerpt)			
280H		AC 120-53B			
280I		AC 120-53B			
280J		5 CFR 6001.104			
280K		2020 Airworthiness Directive			
		Official Report of the Special			
281A		Committee to review the FAA's			
281A		Aircraft Certification Process,			
		1/16/20 (full)			
		Official Report of the Special			
201D		Committee to review the FAA's			
281B		Aircraft Certification Process,			
		1/16/20 (excerpted)			
		U.S. Department of			
		Transportation Office of			
		Inspector General: Weaknesses			
282A		in the FAA's Certification and			
		Delegation Processes Hindered			
		Its Oversight of the 737 MAX 8			
		(full)			
		U.S. Department of			
282B		Transportation Office of			
		Inspector General: Weaknesses			

Exhibit Number	Sponsoring Witness	Description Of Exhibit	Offered	Identified	Admitted
Nullibel	Willess	in the EAAle Cout for the soul			
		in the FAA's Certification and			
		Delegation Processes Hindered			
		Its Oversight of the 737 MAX 8			
		(excerpted)			
		3/27/19 Elwell, FAA Acting			
283A		Administrator, Senate testimony			
		(full)			
		3/27/19 Elwell, FAA Acting			
283B		Administrator, Senate testimony			
		(excerpted)			
		5/15/19 Elwell, FAA Acting			
284A		Administrator, House of			
		Representatives testimony (full)			
		5/15/19 Elwell, FAA Acting			
		Administrator, House of			
284B		Representatives testimony			
		(excerpted)			
		1/19/22 email from DOJ re: no			
205					
285		specifically identified forfeitable			
		property			
		NG/MAX EDFCS (Enhanced			
		Digital Flight Control System)			
286A		Safety Analysis, Doc. No.			
		D241A018-12, Rev. L, 2/22/17			
		(full)			
		NG/MAX EDFCS (Enhanced			
		Digital Flight Control System)			
286B		Safety Analysis, Doc. No.			
		D241A018-12, Rev. L, 2/22/17			
		(excerpted)			
		MAX Airplane Level Functional			
287A		Hazard Assessment (FHA), Doc.			
		No. D200A002, Rev. C, 2/21/17			

Exhibit	Sponsoring	Description Of Exhibit	Offered	Identified	Admitted
Number	Witness				
		(full)			
287B		MAX Airplane Level Functional			
		Hazard Assessment (FHA), Doc.			
		No. D200A002, Rev. C, 2/21/17			
		(excerpted)			
288A		MAX Development Assurance			
		Compliance Plan, Doc. No.			
		D925A003-01, Rev. A 10/27/16			
		(full)			
288B		MAX Development Assurance			
		Compliance Plan, Doc. No.			
		D925A003-01, Rev. A 10/27/16			
		(excerpted)			
289A		11/16/18 Perkins meeting invite			
289B		11/16/18 FAA/Boeing meeting			
		notes			

Respectfully submitted,

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CERTIFICATE OF SERVICE

I hereby certify this Exhibit List was electronically filed, and Assistant United States Cory Jacobs was electronically served via this Court's Electronic Filing System on the day of filing.

/s/	
JEFF KEARNEY	